



RESPONSE UNDER 37 C.F.R. § 1.116  
EXPEDITED PROCEDURE - EXAMINING GROUP 3700

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

September 29, 2003  
Date

Denise Sheridan  
Denise Sheridan

RECEIVED

OCT 09 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECHNOLOGY CENTER R3700

Appl. No. : 09/909,580	Confirmation No. : 3035
Applicant : Nathan R. Brown	Attorney Docket No.: 500200.04 (29285/US/4)
Filed : July 20, 2001	Customer No. : 27,076
Art Unit : 3723	
Examiner : Alvin J. Grant	
Title : METHOD AND APPARATUS FOR CHEMICAL-MECHANICAL PLANARIZATION OF MICROELECTRONIC SUBSTRATES WITH A CARRIER AND MEMBRANE	

Mail Stop AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

RESPONSE UNDER 37 C.F.R. § 1.116

Sir:

Applicant acknowledges receipt of the Office Action dated September 11, 2003.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 23 of this paper.

10/06/2003 SSITHIB1 00000091 501266 09909580  
01 FC:1201 602.00 OP  
02 FC:1202 14.00 DA 1102.00 OP

10/07/2003 SSITHIB1 00000072 09909580  
01 FC:1201  
02 FC:1202

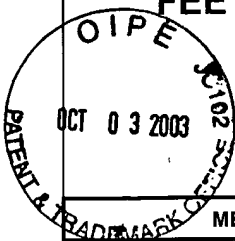
588.00 OP  
1116.00 OP

Adjustment date: 10/07/2003 SSITHIB1  
10/06/2003 SSITHIB1 00000091 501266 09909580  
02 FC:1202 14.00 CR 1102.00 OP

Adjustment date: 10/07/2003 SSITHIB1  
10/06/2003 SSITHIB1 00000091 501266 09909580  
01 FC:1201

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# **FEE TRANSMITTAL SHEET (FOR FY 2003)**



*Complete if Known*

Application No. 09/909,580  
 Filing Date July 20, 2001  
 First Named Inventor Nathan R. Brown  
 Group Art Unit 3723  
 Examiner Alvin J. Grant  
 Atty. Docket Number 500200.04

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TECHNOLOGY CENTER B3700

## **METHOD OF PAYMENT (Check One)**

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

## **FEE CALCULATION**

### **1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
101	750	201	375	<input type="checkbox"/> Utility Filing Fee
106	330	206	165	<input type="checkbox"/> Design Filing Fee
108	750	208	375	<input type="checkbox"/> Reissue Filing Fee
114	160	214	80	<input type="checkbox"/> Provisional Filing Fee
<b>Subtotal (1)</b>				<b>\$0</b>

### **2. EXTRA CLAIM FEES**

Current Claims	Prior	Extra	Fee	Fee Paid
Total	82	- 20	= 62 x \$ 18	= \$ 1116
Ind.	10	- 3	= 7 x \$ 84	= \$ 588
Multiple Dependent Claims			x \$	= \$
<b>Subtotal (2)</b>				<b>\$ 1704</b>

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent Claim
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

## **FEE CALCULATION (Continued)**

### **3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - Late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2,520	147	2,520	For Filing a Request for Reexamination	\$
195	300	196	300	Publication (early or Republication)	\$
115	110	215	55	Extension for reply within first month	\$
116	410	216	205	Extension for reply within 2 <sup>nd</sup> month	\$
117	930	217	465	Extension for reply within 3 <sup>rd</sup> month	\$
118	1,450	218	725	Extension for reply within 4 <sup>th</sup> month	\$
128	1,970	280	985	Extension for reply within 5 <sup>th</sup> month	\$
120	320	220	160	Filing a brief in support of an appeal	\$
121	280	270	140	Request for oral hearing	\$
148	110	248	55	Terminal Disclaimer Fee	\$
140	110	240	55	Petition to revive - unavoidable	\$
141	1,300	241	650	Petition to revive - unintentional	\$
142	1,300	242	650	Utility/Reissue issue fee (+ advance copies)	\$
143	470	243	235	Design issue fee (+ advance copies)	\$
122	130	122	130	Petitions to the Commissioner	\$
123	50	123	50	Petitions related to provisional applications	\$
126	180	126	180	Submission of IDS	\$
581	40	81	40	Recording each patent assignment per property (times number of properties)	\$
179	750	279	375	Request for Continued Examination (RCE)	\$
Other fee (specify)					\$
<b>Subtotal (3)</b>					<b>\$0</b>

**Total Amount of Payment: \$1704**

## **Submitted by:**

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Signature: *Steven Arterberry*

Date: 9/29/03